

Serum protein levels following surgery in breast cancer patients: A protein microarray approach

LUIS G. PEREZ-RIVAS¹, JOSE M. JEREZ² CRISTINA E. FERNANDEZ-DE SOUSA¹
VANESSA DE LUQUE¹ CRISTINA QUERO¹ BELLA PAJARES¹ LEONARDO FRANCO²
ALFONSO SANCHEZ-MUÑOZ¹ NURIA RIBELLES¹ and EMILIO ALBA¹

¹Department of Medical Oncology, Hospital Universitario Virgen de la Victoria, Campus de Teatinos s/n, 29010 Malaga;

²Department of Languages and Computer Science, University of Malaga, Campus de Teatinos s/n, 29071 Malaga, Spain

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1 **Abstract.** Surgery is the primary treatment for non-metastatic
2 breast cancer. However, the risk of early recurrence remains
3 after surgical removal of the primary tumor. Recurrence is
4 suggested to result from hidden micrometastatic foci, which
5 are triggered to escape from dormancy by surgical resec-
6 tion of the primary tumor. In this study, we focused on the
7 differential impact of breast surgery on the serum profiles of
8 early breast cancer patients, healthy women and non-invasive
9 tumor patients. Serum samples from invasive breast cancer
10 patients, *in situ* carcinoma breast cancer patients and healthy
11 women were analyzed using reverse phase protein array
12 technology. Samples were collected prior to breast surgery
13 and 24 h following breast surgery. Both the expression level
14 and the velocity of 42 serum proteins were quantified and
15 compared among groups. We found that surgery increased
16 the concentration of several proteins (CSF1, THSB2, IL6, IL7,
17 IL16, FasL and VEGF-B) in the overall population. Compared
18 with healthy women and patients with non-invasive tumors,
19 invasive tumor patients exhibited higher preoperative levels of
20 several serum proteins, such as α FP, IFN β 1, VEGF-A, IL18,
21 E-cadherin or CD31, and lower postoperative levels of TNF α
22 and IL5. Similarly, we detected significant surgery-induced
23 changes in the velocity of VEGF-A and IL16 accumulation
24 in samples derived from invasive breast cancer patients. In
25 conclusion, breast surgery induced distinct changes in the
26 concentrations and dynamics of serum proteins in invasive
27

breast cancer patients compared with healthy women and non-
invasive tumor patients.

Introduction

Breast cancer is the most common type of cancer diagnosed
in women and the leading cause of female cancer-related
mortality worldwide (1). Breast cancer is currently considered
a heterogeneous disease that includes multiple subtypes that
differ in origin, dynamics, response to treatments, risk of
recurrence and survival (2-5).

Surgery is the primary therapeutic option for treating breast
cancer and other solid neoplasms. However, surgical treatment
alone is often insufficient to eradicate the disease since most
patients develop distant tumors that were present as undetect-
able micrometastases at the time of diagnosis, keeping a higher
risk of recurrence after tumor removal. Furthermore, although
surgery results in a considerable increase in overall survival
for most patients, evidence suggests that tumor removal may
unfavorably alter the natural history of the disease. Based on
preclinical models and the analysis of large series of patients,
several authors have postulated a link between recurrence and
surgery (6-9). This hypothesis is supported by the existence
of a nonproliferative, dormant state of distal micrometa-
static foci that can be disrupted after the surgical depletion
of the primary tumor (10-12). Surgery-driven escape from
dormancy is proposed to be a systemic process mediated by
soluble secreted proteins, such as growth factors, chemokines
or angiogenic factors, that also play a critical role in wound
healing and tissue regeneration following surgery (13,14).

Changes in the concentration of serum proteins have tradi-
tionally been measured by techniques based on highly sensitive
and specific antibody-antigen recognition, such as ELISA, RIA
or western blot analysis. Nevertheless, these techniques are
suitable for the quantification of only a single or a few analytes
per assay. The development of automated, high-throughput
technologies in the field of molecular biology has provided
substantial advances in the understanding of disease processes
by enabling the evaluation of a large number of samples
in a single assay (15). Reverse phase protein microarrays
(RPPA) are high-throughput, multiplexed and miniaturized
immunoassays in which a small volume of protein samples is

Correspondence to: Professor Emilio Alba, Department of Medical
Oncology, Hospital Universitario Virgen de la Victoria, Campus de
Teatinos s/n, 29010 Malaga, Spain
E-mail: ealbac@uma.es

Abbreviations: α FP, α -fetoprotein; CTS D, cathepsin D; E-cad,
E-cadherin; ENG, endoglin; FasL, Fas ligand; HSA, human serum
albumin; IFN, interferon; OPN, osteopontin; RPPA, reverse phase
protein array; THBS, thrombospondin; VWF, von Willebrand factor

Key words: breast cancer, surgery, reverse phase protein array,
serum protein profile, dormancy

1 spotted onto a capturing surface and probed with an antibody
2 directed against the analyte of interest (16-18). Thus, RPPAs
3 enable the mass detection of molecules and reduce cost, time
4 and sample volume without altering sensitivity or specificity.
5 These properties have made RPPAs a powerful tool in cancer
6 research (19).

7 The main aim of the present study was to detect variations
8 in serum protein levels that directly result from breast surgery,
9 focusing on those changes specifically induced by surgery in
10 patients with invasive breast cancer. We employed RPPAs to
11 examine the expression levels of 42 soluble proteins in serum
12 samples from healthy controls and breast cancer patients, both
13 before and after breast surgery.

14 **Materials and methods**

15 *Sample preparation.* Serum samples were obtained from 79
16 women who underwent surgery in our hospital between 1998
17 and 2005. All subjects provided informed consent for study
18 inclusion. Among the patients, 56 were diagnosed with inva-
19 sive breast cancer and 7 were diagnosed with *in situ* breast
20 carcinoma. Sixteen women who developed benign breast
21 fibroadenoma were included as healthy controls. None of the
22 patients received adjuvant chemotherapy before surgery or
23 immediate breast reconstruction after mastectomy. Samples
24 were collected 8 h before surgery, denoted t(0), and 24 h
25 after mastectomy/lumpectomy, denoted t(24). Blood was
26 collected in 3 ml serum-separating tubes (SST, Becton-
27 Dickinson, Franklin Lakes, NJ, USA) and processed within
28 1 h after collection. Samples were left at room temperature for
29 30-40 min until clotted. Serum was obtained by centrifugation
30 at 4,000 rpm for 10 min at 4°C and stored in 200 µl aliquots
31 at -80°C until use. The study was approved by our hospital's
32 ethics committee.

33 *Antibodies.* A set of 45 polyclonal antibodies raised against 42
34 different serum soluble proteins was used to probe the RPPAs
35 (SDI Inc, Newark, DE, USA; Table II). The human serum
36 albumin level was determined on each spot using a monoclonal
37 α-HSA antibody (Sigma-Aldrich, St. Gallen, Switzerland) and
38 served as an intra-spot normalization control. Two different
39 fluorescent-labeled secondary antibodies were used: a donkey
40 α-mouse IgG-DyLight649 and a goat α-rabbit IgG-Cy3
41 (Jackson Immunoresearch, Suffolk, UK).

42 *Microarray generation.* Serum samples were diluted in
43 Denaturing Printing Buffer (DPB) at a final concentration
44 of 8% glycerol, 2% SDS, 50 mM Tris-HCl, pH 6.8 and
45 2% β-ME, as previously described (20), loaded onto 384-well
46 plates and denatured by boiling at 95°C for 10 min. Ten pico-
47 liters from each sample were spotted onto low-fluorescence
48 Immobilon-FL membranes (Merck Millipore, Darmstadt,
49 Germany) using a BioOdyssey Calligrapher MiniArrayer
50 (Bio-Rad, Hercules, CA, USA). Spots were printed in dupli-
51 cate on each membrane following a predefined 24x24 matrix
52 pattern and dried for 2 h inside the printer. Subsequently, the
53 membranes were stored at 4°C in a desiccated chamber with
54 NaCl. Prior to use, membranes were stained with Ponceau
55 red solution (AppliChem, Darmstadt, Germany) to ensure
56 that the spots were printed successfully. The membranes

61 were then washed once with double-distilled water and
62 twice with TBS-T (50 mM Tris-HCl, pH 7.5, 150 mM
63 NaCl, 0.1% Tween-20) and blocked with blocking buffer
64 (3% bovine serum albumin in TBS-T) for 30 min at room
65 temperature. Next, the membranes were incubated for 1 h
66 at room temperature in blocking buffer containing both the
67 specific primary antibody and the HSA antibody used for
68 normalization. After three washes in TBS-T, membranes
69 were incubated for 30 min at room temperature in blocking
70 buffer containing both fluorescent-labeled secondary anti-
71 bodies. Membranes were washed three times in TBS-T and
72 once in double-distilled water and then air-dried in darkness.
73 Finally, fluorescence levels were quantified in a LuxScan
74 10K/A fluorescence scanner (CapitalBio, Beijing, China) at
75 570 nm for the Cy3-labeled specific protein of interest and
76 670 nm for the DyLight649-labeled HSA.

77 To perform robust inter-array comparisons, we referenced
78 the raw fluorescence data from the protein of interest (green)
79 to the level of endogenous human albumin (red) in each spot.
80 This approach also enabled us to discriminate between specific
81 variations and fluctuations related to surgery-associated
82 protein leakage and stress, as previously described (21).

83 *Data analysis.* The complete analysis of microarray data was
84 performed using the R programming language (<http://www.r-project.org>), which has become a de facto standard in the
85 field. Foreground and background intensities from scanned
86 images were extracted using data input functions for two-
87 color microarrays in the limma package (22). The background
88 intensities were subtracted from the foreground intensities for
89 each spot on the arrays, and then a quantile normalization
90 procedure was implemented to make intensities consistent
91 between arrays. Quantile normalization was proposed by
92 Yang and Thorne (23) for two-color cDNA arrays, with the
93 aim of ensuring that the intensities have the same empirical
94 distribution across arrays and across channels. The values of
95 replicate spots within each array were then replaced with their
96 average values.

97 Multiple linear models were fitted to the expression data
98 (in log-ratio scale) for each antibody. Thus, the coefficients of
99 the fitted models describe the differences between the sources
100 hybridized to the arrays. Assessment of differential expres-
101 sion was then completed by performing hypothesis tests and
102 adjusting the p-values for multiple tests. The basic statistical
103 method used to determine significance was the moderated
104 t statistic, which is computed for each probe and for each
105 contrast using a simple Bayesian model that borrows informa-
106 tion from the ensemble of antibodies to aid with inference for
107 each individual antibody (24). The method of Benjamini and
108 Hochberg (25) was used to control the false discovery rate
109 and adjust for q-values such that all antibodies with a q-value
110 below a threshold (typically 0.05) were selected as differen-
111 tially expressed.

112 **Results**

113 To measure the impact of surgery on the serum protein profile,
114 we designed a longitudinal study with serum samples obtained
115 from 63 breast cancer patients who underwent breast surgery
116 at the Hospital Universitario Virgen de la Victoria in Malaga. 120

Table I. Characteristics of the study population.

	Invasive	<i>In situ</i>	Control
Mean age, years (range)	58 (27-87)	54 (45-74)	36 (18-55)
Hormonal status			
Premenopausal	22	3	14
Postmenopausal	24	4	2
Surgery			
Mastectomy	30	1	-
Breast conserving	26	6	16
Tumor size			
T1 (<2 cm)	20		
T2 (2-5 cm)	32		
T3 (>5 cm)	2		
NA	2		
Tumor grade			
I	10		
II	31		
III	13		
NA	2		
ER expression			
Negative	16		
Positive	40		
PgR expression			
Negative	16		
Positive	40		
HER2 status			
Negative	35		
Positive	13		
NA	8		
Nodal status			
Negative	26		
Positive	25		
NA	5		

To provide a control set, we collected samples from 16 healthy women who underwent operations for fibroadenoma. The clinical characteristics of patients and controls are detailed in Table I. Samples were obtained prior to surgery, denoted t(0), and 24 h after the operation, denoted t(24), to prevent potential interference from uncontrolled factors, such as diet, post-surgical infections or adjuvant treatment. Variations in serum protein levels were quantified using reverse phase protein arrays (RPPAs) and a set of 45 antibodies raised against 42 proteins involved in angiogenesis, proliferation, apoptosis, inflammation or wound healing (Table II). A representative image of a hybridized array is shown in Fig. 1.

Mean levels of each antigen were calculated and represented as a heat map (Fig. 2). Surgery-induced changes in the protein profiles of invasive breast cancer patients (I), *in situ* breast cancer patients (S) and fibroadenoma control subjects (B) were examined, with a particular focus on

Table II. List of antibodies used in the study.

Antibodies				
α FP	ENG	IFN γ	IL18	PDGF-B
CD31	Factor XIIIa	IL1A	IL24	THBS2
CD44	FasL (A)	IL1B	MMP1	THBS3
CEACAM	FasL (B)	IL5	MMP11	TNF α
CSF1	HER2	IL6	MMP3	VCAM1(A)
CSF2	HER3	IL6ST	MMP7	VCAM1(B)
CTS D	ICAM5	IL7	MMP9	VEGF-A
E-Cad (A)	IFN α 1	IL12A	MUC16	VEGF-B
E-Cad (B)	IFN β 1	IL16	OPN	VWF

common changes and specific differences that occurred among the different groups of patients. Several cytokines (CSF1, IL6, IL7 and IL16) and angiogenesis-related factors (THBS2 and VEGF-B) were consistently increased after surgery in the overall population (Table III), suggesting that these proteins are involved in a common response to surgical injury in both breast cancer patients and healthy women. However, we identified several proteins that were differentially expressed in B samples before and after surgery, most of which were angiogenic factors (Fig. 2, red asterisks); the concentrations of these proteins were higher in samples collected after the surgical removal of the primary tumor than in preoperative samples. Markedly, we discovered that S and I samples were grouped based on the expression of this same set of proteins. S samples clustered with preoperative B samples whereas I samples clustered with postoperative B samples, regardless of surgery.

Since most relapses are caused by invasive tumors, we focused on specific differences between I and B or between I and nI (noninvasive disease, which includes both *in situ* carcinoma and fibroadenoma samples). Although we were unable to detect dramatic differences in any single factor after comparing groups, we observed significant variations in several proteins that defined a specific pattern of response to surgery in invasive breast cancer patients (Table IV). We determined that, compared with noninvasive samples or healthy controls, I samples were characterized by higher preoperative concentrations of α FP, INF β 1, VEGF-A, IL18, soluble E-cadherin, CD31, factor XIIIa, VEGF-A and IL18 and lower postoperative concentrations of TNF α and IL5. Next, we examined the velocity of accumulation of the 42 analytes. This rate of change over time has been used to identify a signature of serum proteins associated with breast cancer relapse (26) and provides information about surgery-induced analyte dynamics regardless of the initial concentration of each protein. Following this approach, we compared the velocities of each analyte between I and B as well as between I and nI, and we detected significant differences in the velocities of VEGF-A and IL-16 (Table V). We also observed a decrease in the velocity of endoglin accumulation in I compared with B and a decrease in the velocity of IL24 accumulation in I compared with nI.

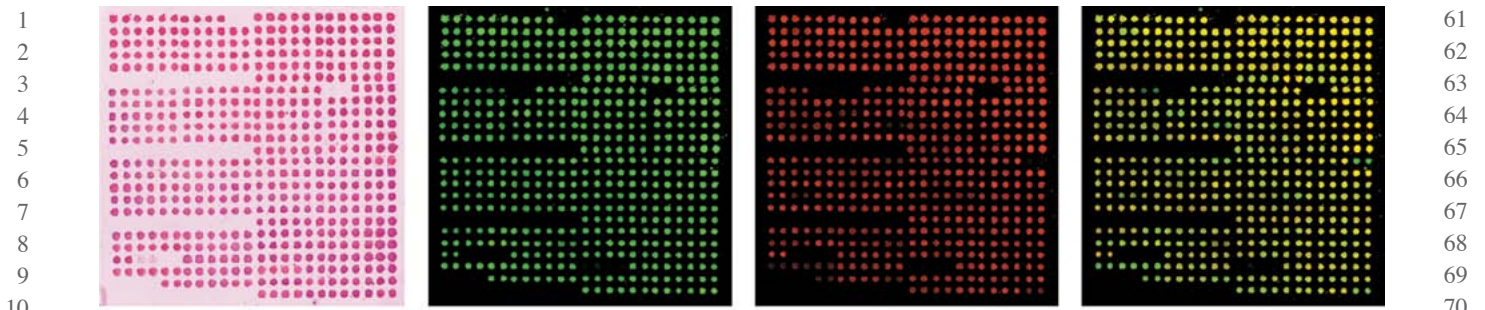


Figure 1. Reverse phase protein array (RPPA). Representative image of an RPPA. Optimal printing of serum samples was checked by Ponceau S staining (left). The levels of each analyte (green) were quantified in a laser scanner and referenced to endogenous HSA (red; overlapped, yellow).

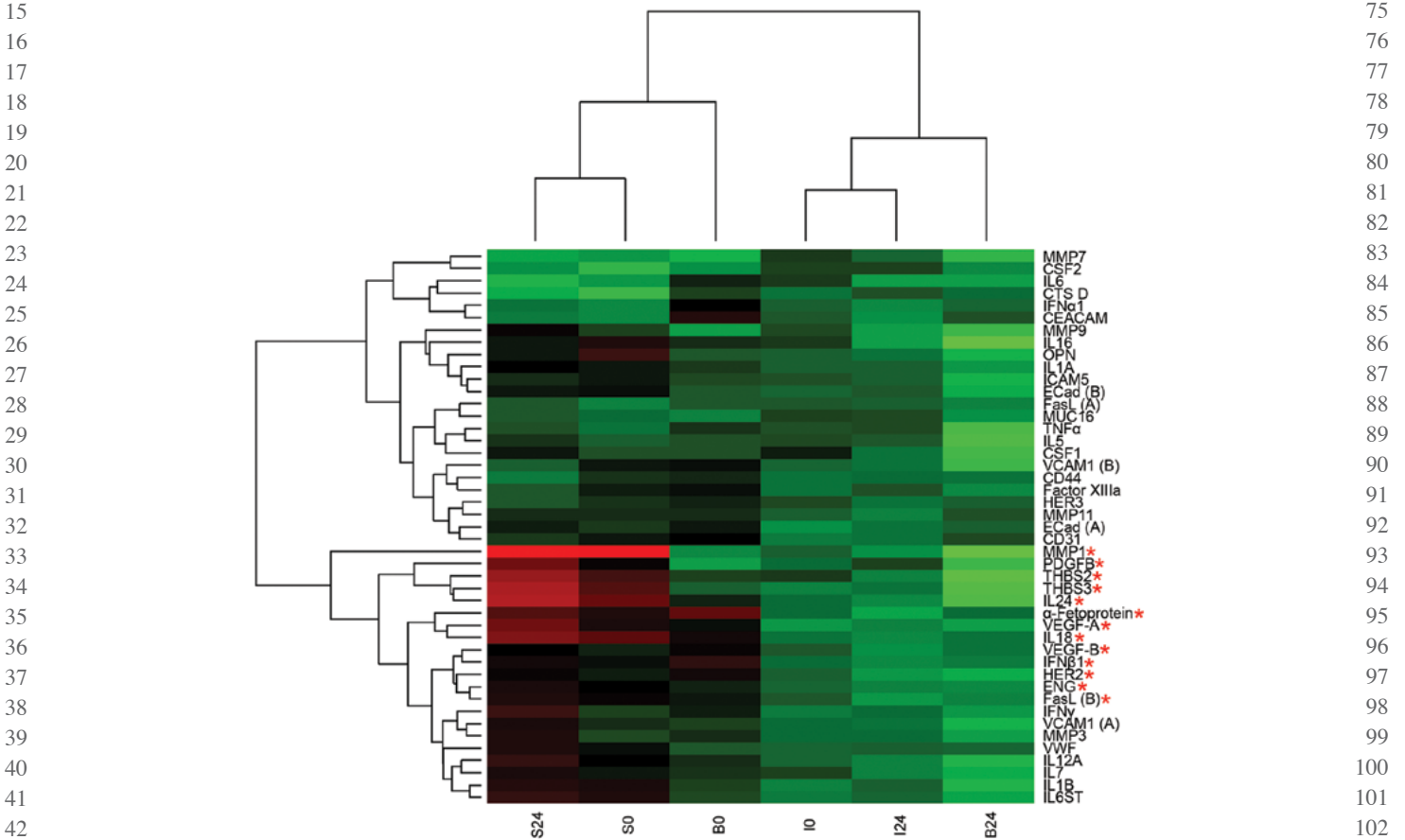


Figure 2. Serum protein profile of invasive, *in situ* and control groups. Unsupervised 2-way clustering of analytes and groups prior to and following surgery. Differentially expressed proteins among invasive (I), *in situ* (S) and control (B) groups are highlighted. Average relative levels are represented. Red boxes indicate lower concentrations and green boxes indicate higher concentrations. The set of proteins whose expression defined the groups B0-S0-S24 and B24-I0-I24 is marked with red asterisks.

Discussion

Wound healing is a highly dynamic process that is classically defined by three overlapping stages: inflammation, tissue formation and tissue remodeling (27). These stages involve many different cells, soluble factors and extracellular matrix molecules that possess critical roles in tissue repair, formation of new blood vessels and maintenance of homeostasis. Furthermore, these factors act as chemoattractants to recruit white blood cells from the bloodstream and promote a systemic response. Similarly, surgical lesions activate the release of inflammatory and angiogenic mediators that trigger

the wound healing response. With regard to cancer, the impact of surgery on serum factor dynamics is of particular interest due to its involvement in the escape from dormancy and cancer recurrence. In this study, we have gained insight into the effect of breast surgery on the expression of 42 serum proteins and focused on the differential behavior that these factors display between breast cancer patients and healthy controls during the first 24 h following surgery.

In healthy women, the concentration of most of the analyzed proteins increased after surgery. However, only a few of them were commonly elevated in both controls and patients. Some of these changes were expected, such as increased IL6 and

Table III. Changes after surgery in the overall population.

Soluble factor	Variation after surgery (%)
IL16	11.9
IL6	10.1
CSF1	8.4
THBS2	7.9
HER2	7.2
VEGF-B	6.3
IL7	6.2
FasL	6.2

Factors with an adjusted p-value <0.05; -not significant.

Table IV. Surgery-induced variations among groups.

Soluble factor	I vs. B (%)		I vs. nI (%)	
	t(0)	t(24)	t(0)	t(24)
MMP7	-10.6	-8.2	-9.7*	-7.5
α FP	21.8	-	19.3	-
IFN β 1	15.8	-	14.1	-
VEGF-A	14.2	-	15.1*	-
IL18	12.9	-	15.5*	9.6
E-Cad (A)	12.1	-	10.9	-
CD31	12.1	-	11.5	-
Factor XIIIa	10.7	-	10.1	-
IL24	-	-	12.3	-
CSF2	-	-	-7.9	-6.9
CD44	-	-	7.1	-
TNF α	-	-12.7	-	-9.2
IL5	-	-11.4	-	-7.0
PDGF-B	-	-11.8	-	-

*Factors with adjusted p-value <0.05; - not significant.

Table V. Surgery-induced changes in analyte velocities.

Soluble factor	logFC I vs. B	logFC I vs. nI
IFN β 1	20.77	14.56
VEGF-A	-10.60	-9.65
IL16	26.51	17.93
IL24	-4.56	-
ENG	-	-11.25

- Not significant.

CSF-1, due to their established role in postoperative inflammation and angiogenesis (28,29). Other proteins that exhibited interesting changes in expression were THBS2, IL7 and IL16. Although THBS2 was first described as an inhibitor of both

angiogenesis and tumor metastasis (30,31), it is necessary for the proper regeneration of connective tissue during wound healing (32) and its serum levels rise in mice during the first 10 days after wound induction (33). IL7 is involved in skin repair (34) and enhances endothelial cell growth and migration (35). IL16 is a potent chemoattractant for white blood cells (36) and is indirectly associated with neovascularization and wound healing (37). These proteins have also been shown to participate in cancer growth and metastasis (38-40).

We also examined the effect of surgery on the protein profile of healthy women, invasive breast cancer patients and *in situ* carcinoma breast cancer patients. Although higher preoperative levels of most of the proteins were detected in I rather than in S or B samples, a small increase or even decrease in the expression of some of these proteins was detected after surgery when compared with B. This observation suggests that the sustained production of several factors by the tumor and the surrounding stroma could lead to a systemic desensitization that alters the response to surgery in cancer patients compared with healthy women.

Angiogenesis is an essential process in wound healing, however, it is also a hallmark of cancer (41). Vascularization is highly associated with aggressive disease, invasiveness and worse outcome; invasive tumors are often highly vascularized while *in situ* carcinomas are poorly vascularized. In agreement with these reports, our data indicate that the concentrations of several angiogenesis-related proteins are lower in S than in I. Strikingly, S was more similar to a preoperative B profile, while I was more similar to B after breast surgery, once the angiogenic cascade is triggered.

Several authors have used different proteomic approaches to identify cancer-related serum biomarkers. Mass spectrometry-based assays and antibody arrays have been used to detect metastasis-related signatures in the serum samples of breast cancer patients (26,42-44); nevertheless, few of these studies have considered the effect of surgery on the serum proteome. Based on a MALDI-TOF analysis, Pietrowska *et al* examined therapy-induced changes in the serum profiles of breast cancer patients (44). In their study, serum samples from 70 early breast cancer patients who underwent surgery and then received adjuvant chemotherapy, radiotherapy or chemo-radiotherapy were analyzed before the surgery (A), 7-14 days after the surgery but before starting the adjuvant treatment (B) and 1 year after the surgery, once the treatment was finished (C). Although several variations between B and C were described, no clear differences between A and B were detected. Thus, the authors suggested that tumor resection had either minimal or no short-term influence on the serum profile dynamics of breast cancer patients. Using an antibody microarray platform, Carlsson *et al* recently identified a signature of distant metastasis in serum samples from 64 breast cancer patients that were collected both before surgery and 3-6 months after the removal of the primary tumor (26). These authors proposed the velocity of accumulation to be a more sensitive and informative predictor than analyte concentration at a given time. By analyzing this parameter, the authors reported a 21-protein signature associated with distant metastasis; strikingly, the velocity of accumulation of IL16 was identified as an accurate predictor within this signature, exhibiting higher values in metastatic breast cancer samples

1 compared with non-metastatic breast cancer samples. The
 2 number of patients with early recurrence in our study cohort
 3 was, however, insufficient to obtain any significant conclusion.
 4 Instead, we detected higher velocities of IL16 accumulation
 5 during the first 24 h in I samples compared with both B and
 6 nI samples, although surgical injury increased serum IL16
 7 levels in a cancer-independent manner. Based on these data,
 8 we suggest that IL16 may be involved in the surgery-driven
 9 escape from dormancy: not only is IL16 behavior modified as
 10 a consequence of breast surgery, but also higher IL16 veloci-
 11 ties are observed in invasive breast cancer and, as reported by
 12 Carlsson *et al*, IL16 may be associated with the development
 13 of distal metastases.

14 In conclusion, surgical resection is the first line of treat-
 15 ment for solid tumors. However, little is known about the
 16 direct effects of surgery on the serum proteome, and even less
 17 is known about how these effects may impact disseminated
 18 cancer cells. We conducted a molecular dissection of the
 19 changes in several serum proteins during the first postopera-
 20 tive 24 h. Inflammation and tissue regeneration, the two main
 21 processes occurring during this period of time, are stimulated
 22 by the production of soluble mediators that ensure a systemic
 23 response to local injury. However, we also identified specific
 24 variations in the serum protein profiles of invasive breast
 25 cancer patients. These observed changes in serum profiles may
 26 be strongly related to surgery-induced cancer relapse and, in
 27 agreement with previous studies, an interruption of dormancy
 28 in disseminated cancer foci.

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